

2019 Pathway Risk Assessment Grid

*All medium to very high risks are to be recorded in JCAD Core as per the SCC Management of Risk Pathway documentation.

LIKELIHOOD (A)	5 Very Likely >90% chance	5 Minimal risk. Acceptable; record & manage within service	10 Low Acceptable; record & manage within service	15* High Record in JCAD Review Quarterly	20* Very High. Record in JCAD Review Monthly	25* Very High. Record in JCAD Review Monthly
	4 Likely 50 to 90% chance	4 Minimal risk. Acceptable; record & manage within service	8 Low Acceptable; record & manage within service	12* Medium Record in JCAD Review Quarterly	16* High Record in JCAD Review Monthly	20* Very High. Record in JCAD Review Monthly
	3 Possible 25 to 50% chance	3 Minimal risk Acceptable; record & manage within service	6 Minimal risk Acceptable; record & manage within service	9* Medium Record in JCAD Review Quarterly	12* Medium Record in JCAD Review Quarterly	15* High Record in JCAD Review Quarterly
	2 Slight 10 to 25% chance	2 Minimal risk Acceptable; Record & manage within service	4 Minimal risk Acceptable; record & manage within service	6 Low Acceptable; Record & manage within service	8 Low Acceptable; Record & manage within service	10 Low Acceptable; Record & manage within service
	1 Very unlikely <10% chance	1 Minimal risk Acceptable; Record & manage within service	2 Minimal risk Acceptable; Record & manage within service	3 Low Acceptable; Record & manage within service	4 Low Acceptable; Record & manage within service	5 Low Acceptable; Record & manage within service
		Insignificant 1	Minor 2	Significant 3	Major 4	Critical 5
IMPACT (B)						

Likelihood of Occurrence (A)	Indicator	Description
1 - Very unlikely	May occur only in exceptional circumstances	< 10% chance of occurrence
2 - Slight	Is unlikely to, but could, occur at some time	>10 to 25% chance of occurrence
3 - Possible	May or may not occur at some time, or in some circumstances. Has happened elsewhere	>25 to 50% chance of occurrence
4 - Likely	Likely to occur within the next 1-2 years or within the lifetime of the programme or project. Circumstances occasionally happen (few times a year). Has happened before.	>50 to 90% chance of occurrence
5 - Very Likely	Regular occurrence. Circumstances frequently encountered. Daily/weekly/monthly.	>90% chance of occurrence

Factor	Scale	Impact on the effect on Service	Impact on Environmental /Social	Impact on Embarrassment/ Reputation	Impact on Personal Safety & Health (H&S)	Impact on Personal Privacy Infringement	Impact on Failure to provide statutory duties/legal obligations	Impact on Financial	Impact on Project Objectives/ Schedule Deadlines
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CRITICAL	5	Loss of life							
		Complete failure to deliver a strategic priority or opportunity	Extensive detrimental long term impacts on the environment and community <ul style="list-style-type: none"> ▪ catastrophic and / or extensive discharge of persistent hazardous pollution 	Adverse/persistent national media coverage (inc. electronic media & Social media) <ul style="list-style-type: none"> ▪ Key Officer/s and/or Member/s forced to resign ▪ Adverse central government response, involving (threat of) removal of delegated powers 	Loss of life	Over 1,000 individual's personal / sensitive data compromised / revealed. (Report to ICO)	Litigation/ claims/fines from: Departmental £500k + Corporate £1m +	Over £50k. Director sign-off required <ul style="list-style-type: none"> • More than 20% of budget –in conjunction with other factors – • Programme/ project costs over run in excess of 20% of budget. • Less than 60% of programme benefits realised 	Complete failure of programme, project or both. Programme outcomes or project products not delivered at all or quality seriously compromised
MAJOR	4	Major impact, positive or negative, on a strategic priority	Long term detrimental environmental or social impact e.g. chronic and/or significant discharge of pollution	Adverse major publicity in professional/ municipal press or electronic media, affecting standing in community	Major injury to an individual or several people	100 – 1,000 individual's personal / sensitive data compromised / revealed. (Report to ICO)	Litigation/ claims/fines from: Departmental £250k + Corporate £500k +	Over £50k. Director sign-off required <ul style="list-style-type: none"> • More than 15% of budget – in conjunction with other factors • Programme /project cost overrun in excess of 15% of budget • Only 70% of programme benefits will be realised 	Extreme project delay (3+ months) Programme or project timeline extended by 30% in addition to agreed tolerance Scope seriously impacted – i.e, reduced/increased by 50%

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SIGNIFICANT	3	Significant impact, positive or negative, on a strategic priority	Serious local discharge of pollutant or source of community within general neighbourhood that requires remedial action	Adverse local/regional publicity of a significant or persistent nature	Severe injury to an individual or several people	10 – 100 individual's personal / sensitive data compromised/ revealed. (Report to ICO)	Litigation / claims / fines from: Departmental £50k to £125k Corporate £100k to £250k	Over £50k. Director sign-off required <ul style="list-style-type: none"> • 10% of budget – in conjunction with other factors • Programme/ project cost overrun in excess of 15% of budget • Only 80% of programme benefits will be realised 	Significant impact on project or most of expected benefits fail / major delay 2-3 months. Programme or project timeline extended by 20% in addition to agreed tolerance Re-adjustment of scope is major

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MINOR	2	Minor impact, positive or negative, on a strategic priority	Short term, local detrimental affect on the environment or social impact e.g. significant discharge of pollutants within local neighbourhood	Adverse local publicity/ local public opinion aware Statutory prosecution of a non-serious nature	Minor injury to an individual or several people	2 – 10 individual's personal / sensitive data is compromised/ revealed	Litigation/ claims/fines from: Departmental £25k to £50k Corporate £50k to £100k	£10-£50K. Strategic Manager Sign-off required <ul style="list-style-type: none"> • Programme/ Project cost overrun in excess of 10% of budget • Only 90% of programme benefits will be realised 	Adverse effect on project/ significant slippage 3 weeks – 2 months Programme or project timeline extended by 10% in addition to agreed tolerance Impact on scope can be managed
INSIGNIFICANT	1	Insignificant impact, positive or negative, on a strategic priority	Lasting detrimental effect on the environment i.e. noise, fumes, odour, dust emissions etc, of short-term duration.	Contained within section/unit or Directorate Complaint from individual/small group of arguable merit	Discomfort to an individual or several people	An Isolated individual's personal / sensitive data is compromised/ revealed	Litigation/ claims/fines from: Departmental £12k to £25k Corporate £25k to £50k	£10-£50k. Strategic Manager Sign-off required <ul style="list-style-type: none"> • Minimal impact on programme/ project costs or benefits 	Minimal impact to project/ slight delay less than 2 weeks Minimal effect of the programme or project timeline within agreed tolerance